

Multi-way Data Analysis for Advanced Processing of EEG in Cognitive and Motor-related Tasks

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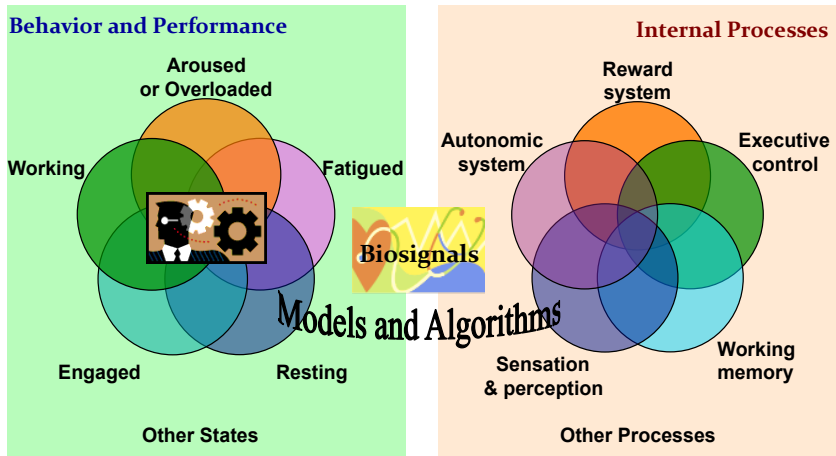


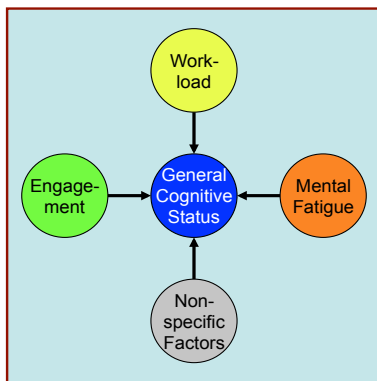
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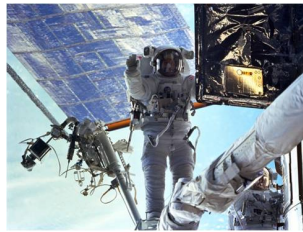


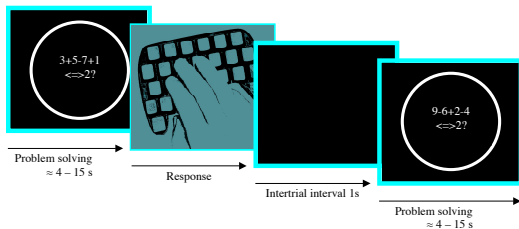


- **Engagement:** selection of a task as the focus of attention and effort
- **Workload:** significant commitment of attention and effort to task
- **Overload:** task demands outstrip performance capacity
- **Mental Fatigue:** desire to withdraw attention and effort from a task

Why to Monitor Cognitive Status?

- Critical safety, high workload, stressful, etc., environments





- Continuous performance of mental arithmetic for up to three hours

Trejo, Rosipal, et al. (2001-2004), NASA Ames



Experiment 2 - Lateralized Attention Network Test (LANT)

- The LANT was developed for measuring selective attention in each hemisphere. It includes:
 - Conflict Resolution
 - Spatial Orienting
 - Alerting
 - Inhibition of Return
- The LANT is sensitive to individual differences
 - In Handedness and in Gender
 - In Personality. E.g., anxiety, empathy
 - In Social Relations, e.g.,
 - Sensitivity to discrimination
 - Conditions of teamwork
- Performance can be optimized by:
 - Adapting to the complementary diurnal rhythms of the attentional networks in the two hemispheres
 - Providing individually emotionally relevant background and spatial cues
 - Modulating the attention networks of the two hemispheres, e.g., by using
 - meditation / relaxation
 - EEG Biofeedback

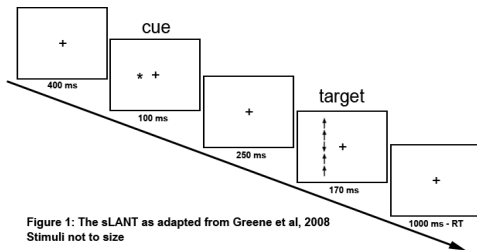
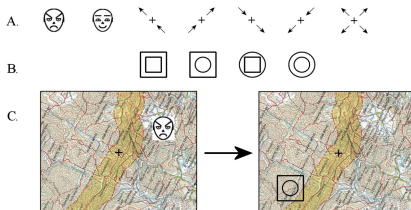


Figure 1: The sLANT as adapted from Greene et al, 2008
Stimuli not to size

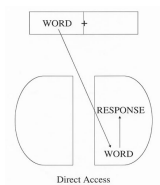
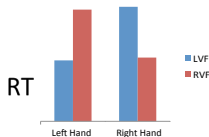


Zaidel, Trejo, Rosipal (2010-2014), PDT, UCLA

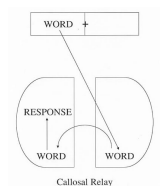
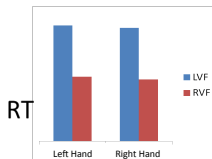


- Left and right brain hemispheres processes task information differently, independently and simultaneously
- Modes of hemispheric interaction
 - There is complementary hemispheric specialization
 - The left hemisphere is linguistic, numerical, analytic, individualistic, non-conventional
 - The right hemisphere is visuo-spatial, synthetic, social, emotional
 - Complex tasks can be optimized by division of labor
 - When resources are limited each hemisphere can monitor errors in the other
 - Conditions of overload and fatigue can be ameliorated by modulating attention in the two hemispheres

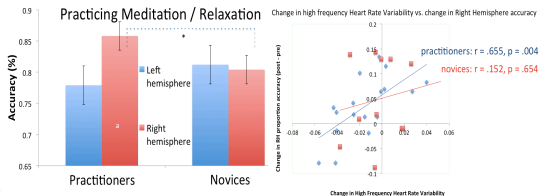
• Direct Access



• Callosal Relay

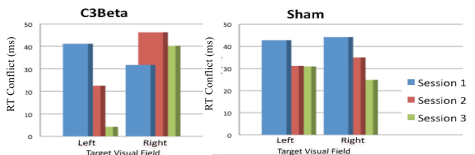


- Meditation / Relaxation



- EEG Biofeedback

Training Beta at C3 Selectively Reduced Conflict in the Right Hemisphere



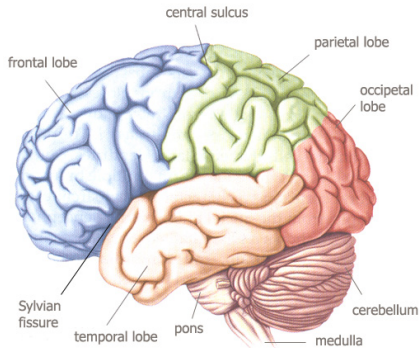
Experiment 3 - Motor Related Mirror-box Training



- A block of 10 different upper-arm and hand movements following physical training of subjects after stroke.
- Four blocks including mirror-box, blinded mirror-box, bimanual and single hand movements.
- Control group of healthy volunteers.

Rospal et al. (2013-2017), SAS

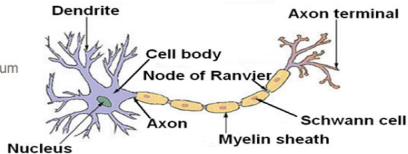


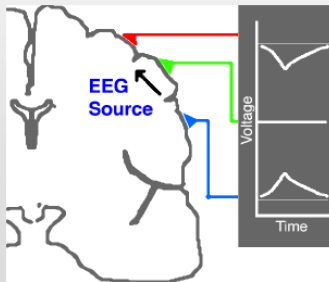
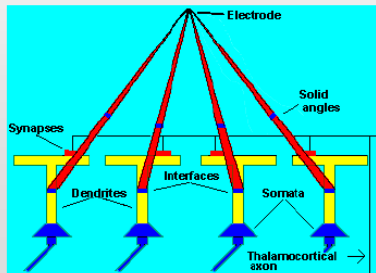
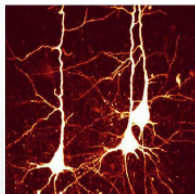
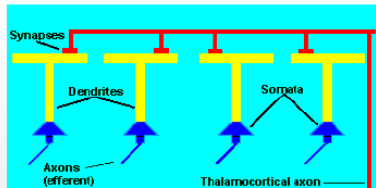


Cerebral Cortex

- the outermost layers of brain
- 2-4 mm thick (human)

Structure of a Typical Neuron

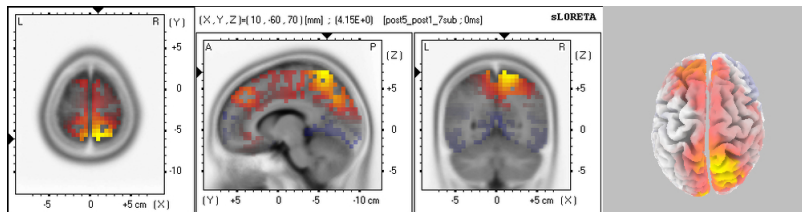
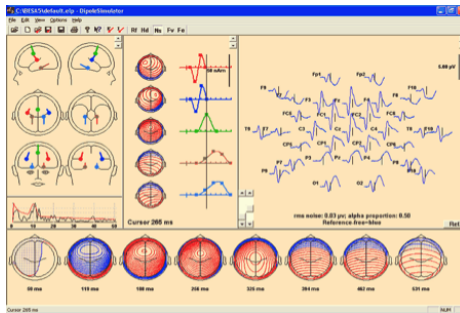




Data - EEG Sample

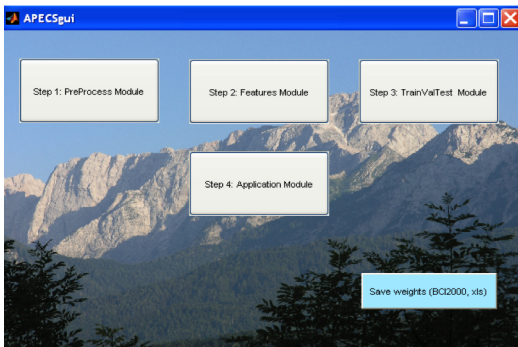


Forward \Leftrightarrow Inverse (cortical \Leftrightarrow scalp mapping)



Software:

proprietary m-codes developed by PDT, LLC, and subroutines from the N-way toolbox for Matlab (Andersson and Bro, 2000)



Rosipal, Trejo (2010-2014), PDT



- After standard pre-processing, EEG data segmented into epochs (usually 2 to 4 sec long)

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- **Spectral representation:** FFT, Welch, Thomson multitaper, etc. estimate of the power spectrum density; that is the distribution of power per unit frequency

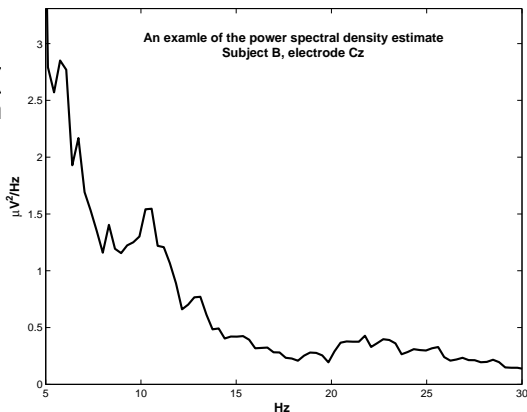
$$P_{xx}(f) = F_x(f)F_x^*(f)$$

where $F_x(f)$ is the Fourier transform of the signal x and $*$ indicates the complex conjugate

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- **Spectral representation:** FFT, Welch, Thomson multitaper, etc. estimate of the power spectral density; that is the distribution of power per unit frequency

where $F_x(f)$ indicates th

- **Example:**

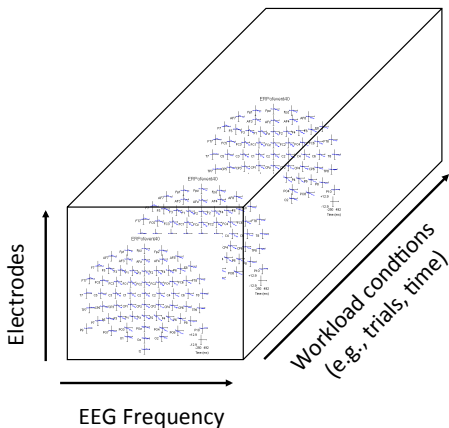


- **Coherence representation:** Cross power spectra density $P_{xy}(f)$,

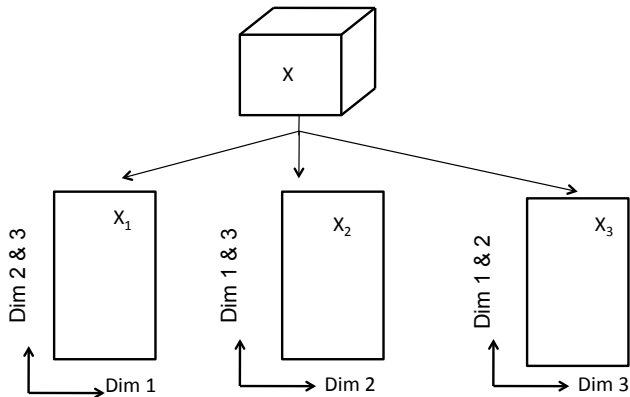
$$P_{xy}(f) = F_x(f)F_y^*(f)$$

or magnituded squared (coherence)

$$C_{xy}(f) = \frac{|P_{xy}(f)|^2}{P_{xx}(f)P_{yy}(f)}$$



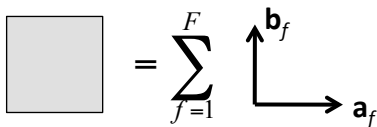
- **Data matrix construction:** $\mathbf{X}_{(I \times J \times K)}$
 - I - time segments
 - J - electrodes or electrode pairs
 - K - PSD or CSD (coherences)



- Representing all experimental factors in one dimension & observations (trials) in second dimension
- Contrast each dimension vs. pair of the other two

Factor Analysis

$$x_{ij} = \sum_{f=1}^F a_{if} b_{jf} + e_{ij}$$



$$\square = \sum_{f=1}^F \begin{matrix} \uparrow \mathbf{b}_f \\ \mathbf{a}_f \rightarrow \end{matrix}$$

Principal Component Analysis (PCA)

$$e_{ij} = 0$$

Partial Least Squares

➤ Data sets:

$$\mathbf{X} \quad (n_{\text{objects}} \times N_{\text{variables}})$$

$$\mathbf{Y} \quad (n_{\text{objects}} \times M_{\text{responses}})$$

➤ Bilinear decomposition:

$$\mathbf{X} = \mathbf{TP}^T + \mathbf{E}$$

$$\mathbf{Y} = \mathbf{UQ}^T + \mathbf{F}$$

where:

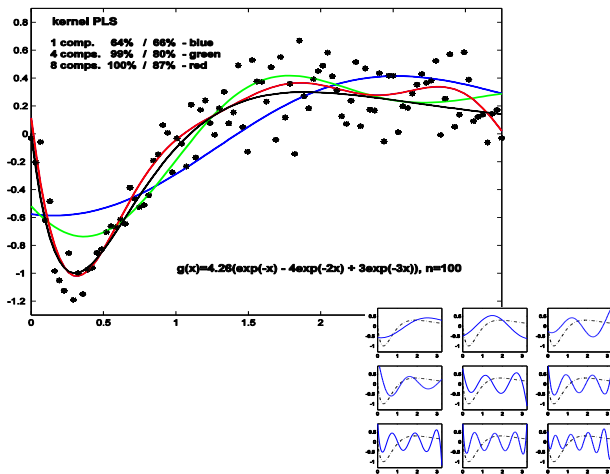
\mathbf{T}, \mathbf{U} matrices of score vectors (LV, components)

\mathbf{P}, \mathbf{Q} matrices of loadings

\mathbf{E}, \mathbf{F} matrices of residuals (errors)

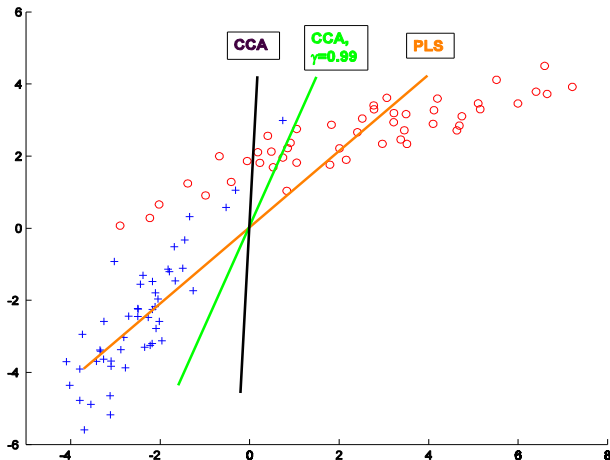
➤ Criterion:

$$\begin{aligned} \max_{|r|=|s|=1} [\text{cov}(\mathbf{Xr}, \mathbf{Ys})]^2 &= [\text{cov}(\mathbf{Xw}, \mathbf{Yc})]^2 \\ &= \text{var}(\mathbf{Xw}) [\text{corr}(\mathbf{Xw}, \mathbf{Yc})]^2 \text{var}(\mathbf{Yc}) \\ &= [\text{cov}(\mathbf{t}, \mathbf{u})]^2 \end{aligned}$$

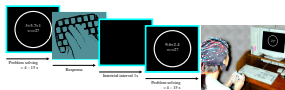


Rosipal, R & Trejo, L.J (2001). Kernel Partial Least Squares Regression in Reproducing Kernel Hilbert Space. *Journal of Machine Learning Research*, 2(Dec):97-123.

Bilinear Unfolding - (Kernel) PLS - Classification

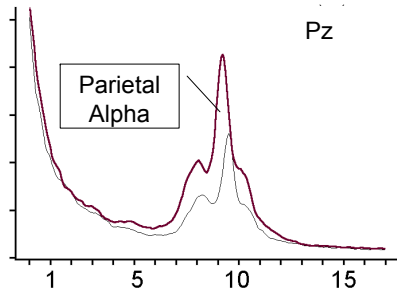
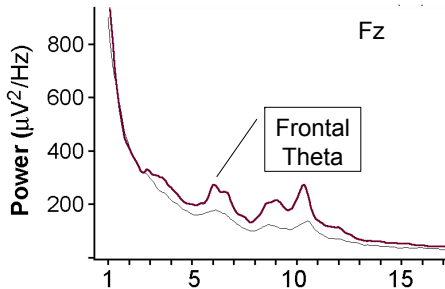


Mental Fatigue - Average Spectrum Analysis

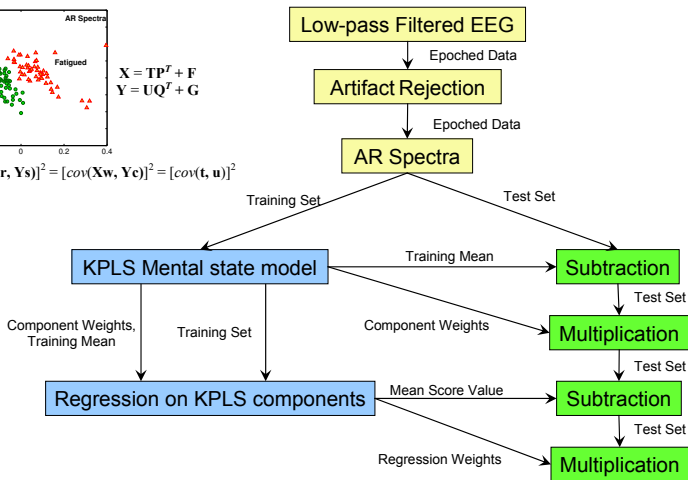
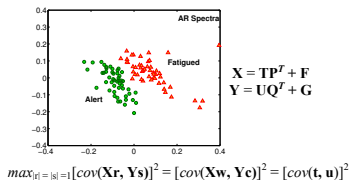


Black= First 15 min

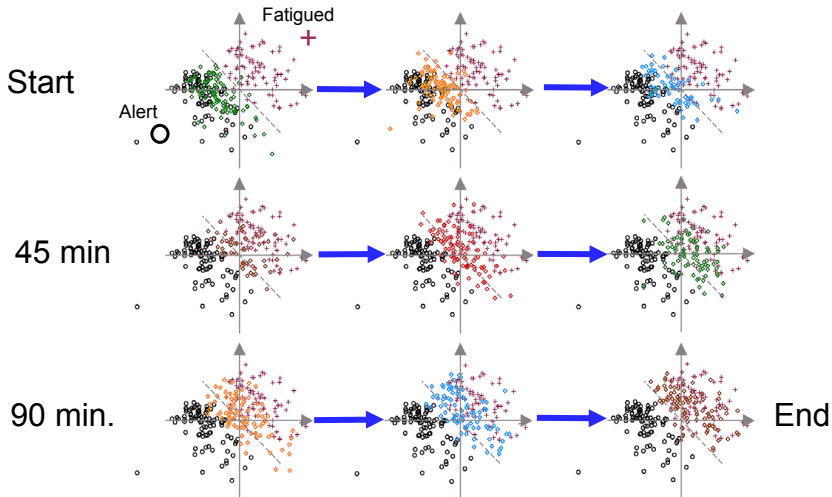
Red=Last 15 min



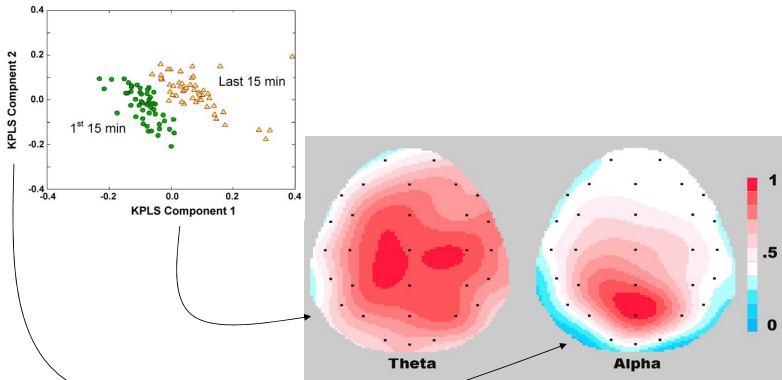
Mental Fatigue - PLS Analysis



Mental Fatigue - PLS analysis

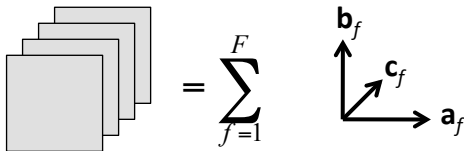


Mental Fatigue - PLS Analysis



PARAFAC

$$x_{ijk} = \sum_{f=1}^F a_{if} b_{jf} c_{kf} + e_{ijk}$$



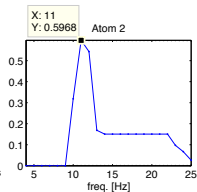
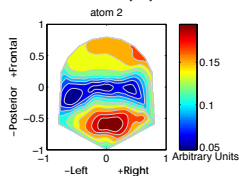
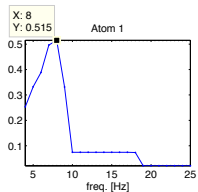
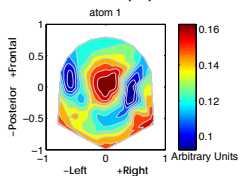
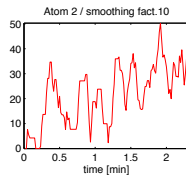
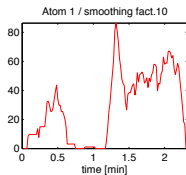
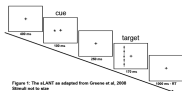
- The PARAFAC model with F factors: decomposition of the data matrix \mathbf{X} using three loading matrices, \mathbf{A} , \mathbf{B} , and \mathbf{C} with elements a_{if} , b_{jf} , and c_{kf}

$$x_{ijk} = \sum_{f=1}^F a_{if} b_{jf} c_{kf} + \epsilon_{ijk}$$

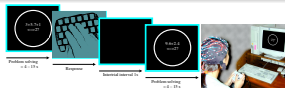
- The criterion:

$$\min_{a_{if}, b_{jf}, c_{kf}} = \left\| x_{ijk} - \sum_{f=1}^F a_{if} b_{jf} c_{kf} \right\|^2$$

Multi-way Analysis

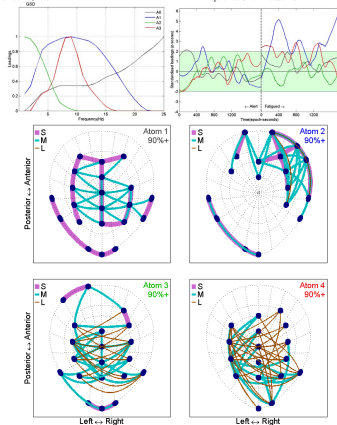


Mental fatigue - PARAFAC coherence analysis

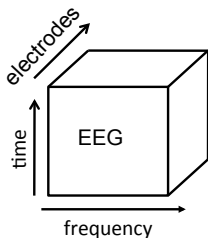


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PDT Report No. UA01BF0636B131



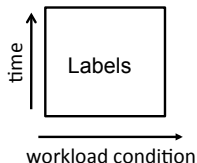
Multi-way PLS (n-PLS)



$$= \sum_{f=1}^F \begin{matrix} \mathbf{c}_f \\ \mathbf{b}_f \\ \mathbf{a}_f \end{matrix}$$

\mathbf{a}_f – spectral atom
 \mathbf{b}_f – spatial atom
 \mathbf{c}_f – temporal atom

max. covariance



$$= \sum_{f=1}^F \begin{matrix} \mathbf{u}_f \\ \mathbf{v}_f \end{matrix}$$

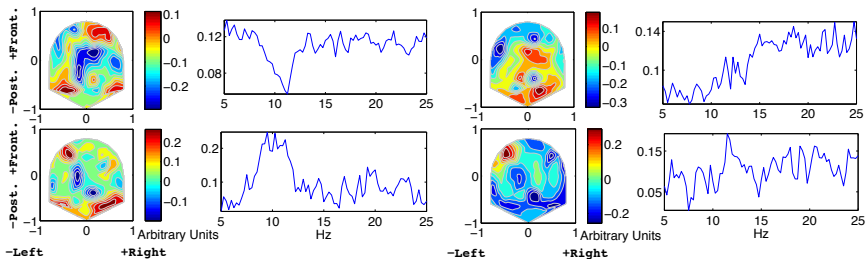
\mathbf{v}_f – workload atom
 \mathbf{u}_f – temporal atom

NPLS Fatigue Prediction



Figure 1: The sLANT as adapted from Greene et al. 2008. Modified and used here.

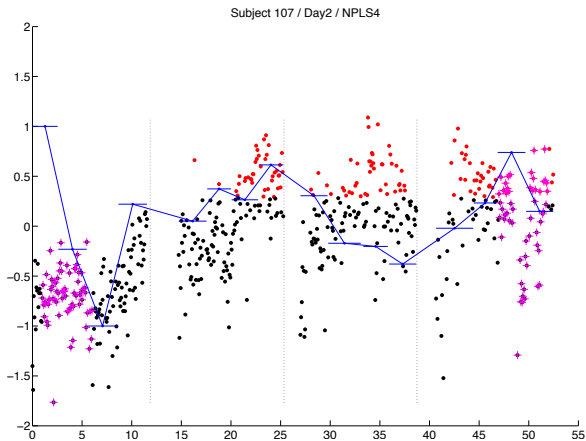
Sub 107 / Day 1 + Day 2/ NPLS 4



NPLS Fatigue Prediction



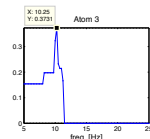
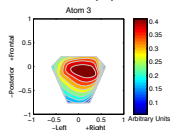
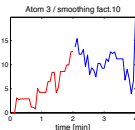
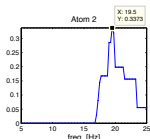
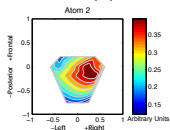
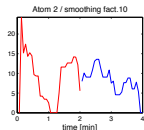
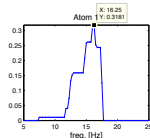
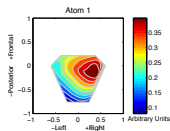
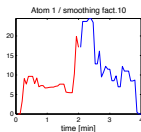
Figure 1: The sLANT as adapted from Greene et al., 2014. Overall not to scale.



PARAFAC Analysis of Motor Related Training



- Atomic PARAFAC decomposition of eyes-open two minutes rest blocks prior and after mirror-box training.

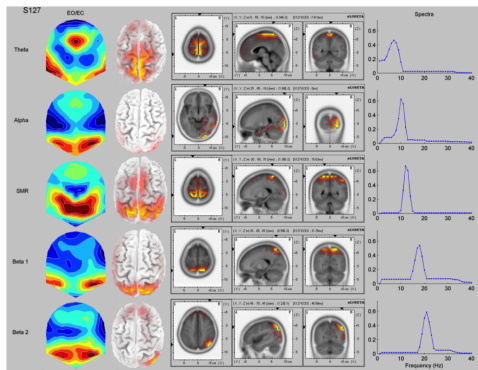
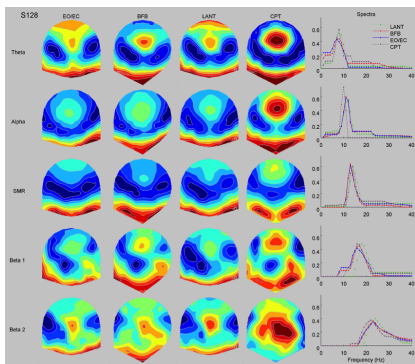


Multi-way Analysis and Inverse Cortical Mapping - A Way to Stabilize and Train BCI?



Figure 1: The sLANT as adapted from Graessle et al. 2008

Internal and to view



- Results show that mental fatigue (workload, engagement - not presented here) can be tracked by EEG components isolated using PARAFAC or NPLS atoms.
- The mental fatigue related atoms were found to be remarkably stable.
- We observed similarly promising and remarkable results on several different data sets monitoring cognitive. status
- The concept of multi-way analysis will be implemented in BCI-robot-assisted system design for neurorehabilitation of patients after stroke.

- <http://aiolos.um.savba.sk/~roman/>



■ References:

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- Trejo L.J., Knuth K., Prado R., Rosipal R., et al. (2007). EEG-based Estimation of Mental Fatigue: Convergent Evidence for a Three-State Model. In Proceedings HCII 2007, Beijing, China, Springer, pp. 201-211.
- Rosipal R., Trejo L.J., Zaidel E. (2013). Atomic Decomposition of EEG for Mapping Cortical Activation. Presented at TML: Tensor Methods for Machine Learning, ECML/PKDD 2013 Workshop, Prague, Czech Republic.
- The recent work of R.R. was partially supported by the APVV-0668-12, MZ 2012/56-SAV-6 and VEGA 2/0043/13 grants.